

# Lecture 11: Primitive Roots

William Stein

Math 124 HARVARD UNIVERSITY Fall 2001

**Key Idea:** *There is an element of  $(\mathbb{Z}/p\mathbb{Z})$  of order  $p - 1$ .*

## 1 Polynomials over $\mathbb{Z}/p\mathbb{Z}$

**Proposition 1.1.** *Let  $f \in (\mathbb{Z}/p\mathbb{Z})[x]$  be a nonzero polynomial over the ring  $\mathbb{Z}/p\mathbb{Z}$ . Then there are at most  $\deg(f)$  elements  $\alpha \in \mathbb{Z}/p\mathbb{Z}$  such that  $f(\alpha) = 0$ .*

*Proof.* We proceed by induction on  $\deg(f)$ . The cases  $\deg(f) = 0, 1$  are clear. Write  $f = a_n x^n + \cdots + a_1 x + a_0$ . If  $f(\alpha) = 0$  then

$$\begin{aligned} f(x) &= f(x) - f(\alpha) \\ &= a_n(x^n - \alpha^n) + \cdots + a_1(x - \alpha) + a_0(1 - 1) \\ &= (x - \alpha)(a_n(x^{n-1} + \cdots + \alpha^{n-1}) + \cdots + a_1) \\ &= (x - \alpha)g(x), \end{aligned}$$

for some polynomial  $g(x) \in (\mathbb{Z}/p\mathbb{Z})[x]$ . Next suppose that  $f(\beta) = 0$  with  $\beta \neq \alpha$ . Then  $(\beta - \alpha)g(\beta) = 0$ , so, since  $\beta - \alpha \neq 0$  (hence  $\gcd(\beta - \alpha, p) = 1$ , we have  $g(\beta) = 0$ . By our inductive hypothesis,  $g$  has at most  $n - 1$  roots, so there are at most  $n - 1$  possibilities for  $\beta$ . It follows that  $f$  has at most  $n$  roots.  $\square$

**Proposition 1.2.** *Let  $p$  be a prime number and let  $d$  be a divisor of  $p - 1$ . Then  $f(x) = x^d - 1 \in (\mathbb{Z}/p\mathbb{Z})[x]$  has exactly  $d$  solutions.*

*Proof.* Let  $e$  be such that  $de = p - 1$ . We have

$$\begin{aligned} x^{p-1} - 1 &= (x^d)^e - 1 \\ &= (x^d - 1)((x^d)^{e-1} + (x^d)^{e-2} + \cdots + 1) \\ &= (x^d - 1)g(x), \end{aligned}$$

where  $\deg(g(x)) = p - 1 - d$ . Recall that Fermat's little theorem implies that  $x^{p-1} - 1$  has exactly  $p - 1$  roots in  $\mathbb{Z}/p\mathbb{Z}$ . By Proposition 1.1,  $f(x)$  has at most  $p - 1 - d$  roots and  $x^d - 1$  has at most  $d$  roots, so  $g(x)$  has exactly  $p - 1$  roots and  $x^d - 1$  has exactly  $d$  roots, as claimed.  $\square$

**WARNING:** The analogue of this theorem is false for some  $f \in (\mathbb{Z}/n\mathbb{Z})[x]$  with  $n$  composite. For example, if  $n = n_1 \cdot n_2$  with  $n_1, n_2 \neq 1$ , then  $f = nx$  has at least two distinct zeros, namely 0 and  $n_2 \neq 0$ .

## 2 The Structure of $(\mathbb{Z}/p\mathbb{Z})^* = \{1, 2, \dots, p-1\}$

In this section, we prove that the group  $(\mathbb{Z}/p\mathbb{Z})^*$  is cyclic.

**Definition 2.1.** A *primitive root* modulo  $p$  is an element of  $(\mathbb{Z}/p\mathbb{Z})^*$  of order  $p-1$ .

**Question:** For which primes  $p$  is there a primitive root? (Ans. Every prime.)

**Lemma 2.2.** Suppose  $a, b \in (\mathbb{Z}/n\mathbb{Z})^*$  have orders  $r$  and  $s$ , respectively, and that  $\gcd(r, s) = 1$ . Then  $ab$  has order  $rs$ .

This is a general fact about commuting elements of a group.

*Proof.* Since  $(ab)^{rs} = a^{rs}b^{rs} = 1$ , the order of  $ab$  is a divisor  $r_1s_1$  of  $rs$ , where  $r_1 \mid r$  and  $s_1 \mid s$ . Thus

$$a^{r_1s_1}b^{r_1s_1} = (ab)^{r_1s_1} = 1.$$

Raise both sides to the power  $r_2$ , where  $r_1r_2 = r$ . Then

$$a^{r_1r_2s_1}b^{r_1r_2s_1} = 1,$$

so, since  $a^{r_1r_2s_1} = (a^{r_1r_2})^{s_1} = 1$ ,

$$b^{r_1r_2s_1} = 1.$$

This implies that  $s \mid r_1r_2s_1$ , and, since  $\gcd(s, r_1r_2) = 1$ , it follows that  $s = s_1$ . A similar argument shows that  $r = r_1$ , so the order of  $ab$  is  $rs$ .  $\square$

**Theorem 2.3.** For every prime  $p$  there is a primitive root mod  $p$ . In other words, the group  $(\mathbb{Z}/p\mathbb{Z})^*$  is a cyclic group of order  $p-1$ .

*Proof.* Write

$$p-1 = q_1^{n_1}q_2^{n_2}\cdots q_r^{n_r}$$

as a product of distinct primes  $q_i$ .

By Proposition 1.2, the polynomial  $x^{q_i^{n_i}} - 1$  has exactly  $q_i^{n_i}$  roots, and the polynomial  $x^{q_i^{n_i-1}} - 1$  has exactly  $q_i^{n_i-1}$  roots. Thus there is an  $a_i \in \mathbb{Z}/p\mathbb{Z}$  such that  $a_i^{q_i^{n_i}} = 1$  but  $a_i^{q_i^{n_i-1}} \neq 1$ . This  $a_i$  has order  $q_i^{n_i}$ . For each  $i = 1, \dots, r$ , choose such an  $a_i$ . By repeated application of Lemma 2.2, we see that

$$a = a_1a_2\cdots a_r$$

has order  $q_1^{n_1}\cdots q_r^{n_r} = p-1$ , so  $a$  is a primitive root.  $\square$

*Remark 2.4.* There are  $\varphi(p-1)$  primitive roots modulo  $p$ , since there are  $q_i^{n_i} - q_i^{n_i-1}$  ways to choose  $a_i$ . To see this, we check that two distinct choices of sequence  $a_1, \dots, a_r$  define two different primitive roots. Suppose that

$$a_1a_2\cdots a_r = a'_1a'_2\cdots a'_r,$$

with  $a_i, a'_i$  of order  $q_i^{n_i}$ , for  $i = 1, \dots, r$ . Upon raising both sides of this equality to the power  $s = q_2^{n_2} \cdots q_r^{n_r}$ , we see that  $a_1^s = a_1'^s$ . Since  $\gcd(s, q_1^{n_1}) = 1$ , there exists  $t$  such that  $st \equiv 1 \pmod{q_1^{n_1}}$ . It follows that

$$a_1 = (a_1^s)^t = (a_1'^s)^t = a_1'.$$

Upon canceling  $a_1$  from both sides, we see that  $a_2 \cdots a_r = a_2' \cdots a_r'$ ; by repeating the above argument, we see that  $a_i = a_i'$  for all  $i$ . Thus, different choices of the  $a_i$  must lead to different primitive roots; in other words, if the primitive roots are the same, then the  $a_i$  were the same.

For example, there are  $\varphi(16) = 2^4 - 2^4 = 8$  primitive roots mod 17:

```
? for(n=1,16,if(znorder(Mod(n,17))==16,print1(n," ")))
3 5 6 7 10 11 12 14
```

*Example 2.5.* In this example, we illustrate the proof of Theorem 2.3 when  $p = 13$ . We have

$$p - 1 = 12 = 2^2 \cdot 3.$$

The polynomial  $x^4 - 1$  has roots  $\{1, 5, 8, 12\}$  and  $x^2 - 1$  has roots  $\{1, 12\}$ , so we take  $a_1 = 5$ . The polynomial  $x^3 - 1$  has roots  $\{1, 3, 9\}$ , so set  $a_2 = 3$ . Finally,  $a = 5 \cdot 3 = 15 \equiv 2$ . Note that the successive powers of 2 are

$$2, 4, 8, 3, 6, 12, 11, 9, 5, 10, 7, 1,$$

so 2 really does have order 12.

*Example 2.6.* The result is false if, e.g.,  $p$  is replaced by a big power of 2. The elements of  $(\mathbb{Z}/8\mathbb{Z})^*$  all have order dividing 2, but  $\varphi(8) = 4$ .

**Theorem 2.7.** *Let  $p^n$  be a power of an odd prime. Then there is an element of  $(\mathbb{Z}/p^n\mathbb{Z})^*$  of order  $\varphi(p^n)$ . Thus  $(\mathbb{Z}/p^n\mathbb{Z})^*$  is cyclic.*

I will not prove Theorem 2.7 in class. I will probably put a problem on your next homework set that will guide you to a proof.

### 3 Artin's Conjecture

**Conjecture 3.1 (Emil Artin).** *If  $a \in \mathbb{Z}$  is not  $-1$  or a perfect square, then the number  $N(x, a)$  of primes  $p \leq x$  such that  $a$  is a primitive root modulo  $p$  is asymptotic to  $C(a)\pi(x)$ , where  $C(a)$  is a constant that depends only on  $a$ . In particular, there are infinitely many primes  $p$  such that  $a$  is a primitive root modulo  $p$ .*

Nobody has proved this conjecture for even a single choice of  $a$ . There are partial results, e.g., that there are infinitely many  $p$  such that the order of  $a$  is divisible by the largest prime factor of  $p - 1$ . (See, e.g., Moree, Pieter, *A note on Artin's conjecture*.)